



# Internal Investigations

Hinshaw's Internal Investigations Group is highly experienced in conducting complex and sensitive internal investigations. Our clients include publicly traded and private companies, educational institutions and other governmental entities.

## Investigations and Enforcement Experience

Our Investigations team includes a former U.S. Attorney and several former Assistant U.S. Attorneys, a former U.S. Securities and Exchange Commission (SEC) enforcement attorney, a former state Solicitor General, former Assistant Attorney Generals, and former Assistant State Attorneys. They draw from their government experience to help clients understand what occurred and how to implement appropriate remedial measures, while also appropriately protecting attorney-client and work-product privileges.

Our team's prosecutorial experience gives us insight into U.S. Department of Justice, SEC, and state Attorneys General investigations, and the personnel and inner workings of these agencies. It also helps our team navigate, and assist clients with, parallel governmental investigations or private actions.

We are experienced in internal investigations involving:

- Audit committee inquiries into illegal or improper conduct
- Corporate governance, risk management practices and compliance programs
- Diligence in connection with M&A transactions
- Export Compliance
- The False Claims Act, *qui tam* actions, and government contract billing
- The Foreign Corrupt Practices Act
- Restatements
- Section 10A
- Shareholder and other derivative demands
- Special litigation committees
- Whistleblower and other investigations under Sarbanes-Oxley

## Our Investigations Methodology

We have developed effective and efficient strategies that help determine when an investigation is warranted, as well as the scope required to properly assess and control the situation. This includes:

- Investigative planning
- Determining internal stakeholders' roles in the investigation and working with those overseeing the investigation internally

### Attorneys

Michael F. Iasparro

Daniel M. Purdom

Jessica A. Riley

Kenneth E. Yeadon

### Related Services

Regulatory Investigations and Compliance Counseling

Securities Litigation and Enforcement

White Collar Defense & Internal Investigations



- Identifying and coordinating with other service providers (e.g. forensic accountants, etc.) as necessary
- Gathering and analyzing evidence
- Interviewing witnesses across the United States and abroad
- Determining potential exposures and liabilities
- Interacting with government enforcement agencies and other regulators, auditors and oversight committees
- Assisting with communications—both within the organization and with the marketplace—to limit the effect and scope of any damage
- Creating an accurate record and preserving the attorney-client privilege
- Presenting our findings to the client's team, board, special committees, and any others

## Post-Investigation Response Strategies

We help our clients effectively address any identified problems so that its personnel can get back to the organization's core business. Where our investigation turns up evidence of wrongdoing, we present recommended remedial actions and provide advice regarding questions of disclosure to authorities. Our advice focuses on the facts at hand, helps preempt potential future problems, and aids in countering adverse market-related effects of the incident. In instances where we find insufficient evidence of wrongdoing, we often will suggest policies and procedures designed to address other potential problem areas we may have discovered during the course of the investigation.

## Thought Leadership

In addition to our many professional accomplishments, we regularly lecture and serve as program panelists at professional and business association meetings addressing internal investigation and white collar crime issues. Group members have served as faculty for the National Institute of Trial Advocacy at Northwestern University and the U.S. Department of Justice National Advocacy Center; and serve as adjunct faculty at various law schools, including Northwestern University Pritzker School of Law.

## News

[New York Times, The Guardian and New York Daily News Quote David S. Weinstein Regarding Arrest and Indictment of Ghislaine Maxwell](#)

July 8, 2020

[David S. Weinstein Comments in Law360 on Prosecution Tactics in George Floyd Murder Case](#)

June 8, 2020

[David S. Weinstein: Reversal of Prosecutors' Sentencing Recommendation in Roger Stone Case "Raises Both Eyebrows"](#)

February 12, 2020

[David S. Weinstein Discusses Senate's Impeachment Vote on CBS4 Miami](#)

February 7, 2020

[Media Summary: David S. Weinstein Discusses Impeachment Trial Proceedings](#)

January 31, 2020

[David S. Weinstein Talks with USA Today about Lev Parnas' Bid for Leniency](#)

January 20, 2020

[Ken Yeadon Talks to Business Insurance about SEC Disgorgement Case Recently Accepted for Review by SCOTUS](#)

November 14, 2019



[David Weinstein Discusses Arrest and Indictment of Florida-Based Associates of Rudy Giuliani for Alleged Election Law Violations](#)

October 11, 2019

[Media Summary: David S. Weinstein Discusses Whistleblower Complaint Against President Trump and the Impeachment Inquiry](#)

October 1, 2019

[Hinshaw's David Weinstein Discusses Congressional Testimony of Former Special Prosecutor Robert Mueller](#)

July 29, 2019

[Hinshaw's David S. Weinstein Discusses Arrest and Indictment of Billionaire Sex Offender Jeffrey Epstein](#)

July 10, 2019

[David S. Weinstein Discusses Obligations of U.S. Political Candidates to Report Contact with Foreign Agents](#)

June 17, 2019

[David S. Weinstein Appears on CBS Miami TV to Discuss Implementation of Florida Amendment that Restores Voting Rights for Most Convicted Felons](#)

January 9, 2019

[Media Summary: David S. Weinstein Suggests Paul Manafort Likely Angling for a Presidential Pardon](#)

December 4, 2018

[David S. Weinstein Installed as President of the FBA's South Florida Chapter](#)

October 12, 2018

[Ken Yeadon and Michael Iasparro Present on Internal Investigations at Illinois Municipal League Annual Conference](#)

September 21, 2018

[Hinshaw's Dan Purdom Discusses Van Dyke Trial Prosecutors in Front Page Chicago Tribune Story](#)

September 6, 2018

[David S. Weinstein on Manafort Convictions, Cohen's Guilty Plea](#)

August 23, 2018

[Media Summary: Manafort Trial Insights from David S. Weinstein](#)

August 20, 2018

[David S. Weinstein: Secret White House Recordings Taped by Omarosa "Not Illegal" although "Highly Unusual"](#)

August 14, 2018

[David S. Weinstein in Politico and WIOD: What impact will interactions between prosecutors and Judge Ellis have on jury in Manafort trial?](#)

August 10, 2018

[David S. Weinstein Discusses the Manafort Trial Proceedings on First News with Jimmy Cefalo](#)

August 3, 2018

[David Weinstein in Politico: If what Michael Cohen now says is true ... it will make Watergate look like a mere burglary](#)

July 30, 2018

[David S. Weinstein Quoted in Politico's "It's a big FU from Mueller" Story, Talks to CBS4 Miami](#)

July 18, 2018

[Ken Yeadon Discusses the Impact of the SCOTUS Escobar Ruling, Two Years On](#)

June 29, 2018



[Ken Yeadon Discusses the Settlements of Two Libya FCPA Cases in Wall Street Journal](#)  
June 8, 2018

[Hinshaw's David S. Weinstein Continues his Analysis of the Addition of Jane and Marty Raskin to President Trump's Legal Defense Team: Washington Post](#)  
April 26, 2018

[Hinshaw Achieves National and Regional Recognition in 2018 U.S. News - Best Lawyers® "Best Law Firms" Directory](#)  
November 1, 2017

## Events

[Hinshaw Attorneys Scheduled to Present at DRI Cybersecurity and Data Privacy Seminar](#)  
September 7, 2018  
Loews Hotel | Chicago, Illinois