

SEC PROPOSES BROKER-DEALER EXEMPTION FOR "FINDERS," THOUGH MUCH REMAINS UNCERTAIN

PUBLICATION, *RCCB CORPORATE & BUSINESS ALERT* - ALEX SELDIN, CHRIS YARACS, NOVEMBER 23, 2020

A recently proposed Securities and Exchange Commission ("SEC") exemption from broker-dealer registration may make it easier for small and medium-sized businesses to raise capital from accredited investors, but questions remain.

PROFESSIONALS

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CAPABILITIES

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