# **SheppardMullin**



## Alexander M. Schwartz

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Alex Schwartz is a partner in the firm's Corporate Practice Group.

#### **Areas of Practice**

Alex regularly counsels companies, private equity firms, venture capital firms and investment banks on going public transactions, including IPOs and direct listings, and routinely advises newly and seasoned public companies and private equity firms on strategic transactions, debt, equity, convertible, preferred and hybrid securities offerings, corporate governance, disclosure issues, executive compensation and incentive equity matters, periodic reporting, Section 16 reporting and stock exchange listing requirements. Additionally, Alex has significant experience navigating complex mergers and acquisitions, recapitalizations, liability management exercises and restructurings.

#### **Articles**

"Recent Trends in IPOs of Private Equity Sponsor-Backed U.S. Companies," *Practical Law The Journal*, September 2016

#### **Practices**

Corporate Capital Markets Emerging Company & Venture Capital Joint Ventures and Strategic Alliances Mergers and Acquisitions Private Equity Public Company Corporate Governance and Compliance

#### Industries

Aerospace, Defense & Government Services Artificial Intelligence

# **SheppardMullin**

Blockchain and Fintech Cannabis Emerging Company & Venture Capital Financial Services Food and Beverage Healthcare Hospitality Investment Management Life Sciences Open Source Private Equity Retail, Fashion & Beauty Semiconductors Sports Telecom

### Education

J.D., University of California, Los Angeles, School of Law, Managing Editor, *UCLA Law Review* B.A., Business Economics; Accounting Minor, University of California, Los Angeles

### **Admissions**

Illinois California

### **Additional Office**

**Century City**