



→ Christopher J. Bosch

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Christopher Bosch is an associate in the Governmental Practice in the firm's New York office.

Areas of Practice

Christopher's practice primarily focuses on securities regulation, compliance, and litigation, as well as internal investigations and white collar defense.

Christopher frequently represents banks, broker-dealers, investment advisers, boards of directors, corporate officers, financial advisors, and other securities professionals in connection with internal investigations and inquiries by the U.S. Attorney's Offices for the Southern District of New York and Northern District of California, the SEC, the CFTC, FINRA, the IRS, the FRB, the NY Department of Financial Services, and other governmental entities and self-regulatory organizations. He counsels clients issues and allegations, including insider trading, trading and position valuation, misuse of confidential information, unauthorized trading, money laundering and BSA/AML issues, retail brokerage sales practice violations, criminal and civil tax violations, and legal challenges posed by emerging technologies. Christopher has advised financial institutions on compliance with data privacy and cybersecurity legal mandates, represented a blockchain investor in connection with shareholder derivative and class actions alleging securities law violations, advised firms regarding digital token issuances and regulatory compliance, and regularly publishes on regulatory developments in the cybersecurity, blockchain, and cryptocurrency spaces.

Christopher represents organizations and individuals in connection with a wide variety of litigation, including grand jury and regulatory enforcement matters, as well as RICO, breach of contract, fraud, intellectual property infringement, and shareholder actions.

Christopher is actively involved in pro bono work at the firm, including the representation of individuals seeking asylum in immigration proceedings.

Honors

- "Ones to Watch," *Best Lawyers*, 2024
- Thought Leadership Award, Fintech, Spring 2023
- Thought Leadership Award, Government Public Sector, Spring 2023

Articles

- Is it Time to File More Motions to Dismiss in Criminal Cases?
The Texas Lawbook, 04.29.2024
- Financial Cos. At Risk In Feds' Off-Channel Messaging Sweep
Law360, 06.28.2023
- SEC's Crypto Suit Shines Light On Novel Securities Theory
Law360, 03.15.2023
- SEC Shifts Focus on Employees' Off-Channel Business Communications to Investment Advisers
The Texas Lawbook, 11.22.2022
- The Edge of Tomorrow: A Review of the Past, Present, and Future of Crypto Enforcement
INSIGHTS, 08.2022
- Legislation Misses Mark To Expand SEC Disgorgement Powers
New York Law Journal, 03.15.2021
- Lehman Ruling Sets Stage For Future Subordination Contests
Law360, August 16, 2017
- Securing the Smart Grid: Protecting National Security and Privacy through Mandatory, Enforceable Interoperability Standards
41 FORDHAM URB. L.J. 1349, 2014
- Not So Fast: Death of Labor Department Fiduciary Rule May Not Be Imminent
New York Law Journal, 12.13.2016
- NYSE Returns To the Regulatory Beat
New York Law Journal, 02.08.2016

Corporate and Securities Law Blog Posts

- "Latest Round of SEC 'Off-Channel' Communications Settlements Highlights Risks for Investment Advisers and Benefits of Self-Reporting," August 27, 2024
- "What Private Equity Firms Need to Know About the Ongoing SEC Investigation of "Off-Channel" Communications," April 19, 2024
- "United States Supreme Court Endorses Low Burden of Proof for Whistleblowers," February 20, 2024
- "Treasury Announces Renewed Push for Investment Adviser AML Rules," January 9, 2024
- "Second Circuit Reins in SEC Disgorgement Powers," November 3, 2023
- "SEC Shifts Focus on Employees' Off-Channel Business Communications to Investment Advisers," November 11, 2022
- "SEC Adopts New Executive Compensation Clawback and Disclosure Rule," October 26, 2022
- "Second Circuit Limits Scope of SEC Whistleblower Incentives," August 4, 2022
- "Second Circuit Upholds Enforceability of SEC Tolling Agreements," August 3, 2021
- "New York Attorney General Sues to Shutter Cryptocurrency Trading Firm Coinseed," February 25, 2021

- "Ninth Circuit Reverses SEC Disgorgement Award and Remands in First Decision Post-Liu," August 20, 2020
- "Delaware Supreme Court Affirms Appraisal Award Using Corporation's Unaffected Market Price As Fair Value," July 20, 2020
- "Supreme Court Preserves But Limits SEC Disgorgement Power," June 29, 2020
- "SEC Offers Limited Rule Relief to Spur Small Business Crowdfunding During Pandemic," May 14, 2020
- "Third Circuit Reversal a Pyrrhic Win for SEC in Ongoing Statute of Limitations Saga," October 16, 2019
- "New York's AG Enters the Cryptocurrency Ring as Federal, State Authorities Find Regulatory Footing," May 1, 2018
- "Second Circuit Limits Reach of SLUSA Preclusion in State Law Variable Annuity Class Action," April 26, 2018
- "Delaware Supreme Court Imposes New Limits on Stockholder Ratification Defense In Connection With Equity Incentive Plans," January 3, 2018
- "Second Circuit Holds that Contingent Equity-Based Compensation of Former Lehman Employees are Subordinate to Creditor Claims," June 21, 2017
- "New York Appellate Division Revives Non-Monetary Class Action Settlement in M&A Class Action with Revised Standard of Review," March 2, 2017

Government Contracts, Investigations & International Trade Blog Posts

- "SEC Off-Channel Communications Sweep," May 24, 2023
- "NYAG's Warning to Crypto Businesses Muddies Regulatory Waters; Compliance Requirements Remain Elusive," November 29, 2021
- "A September to Remember. Coinbase Avoids SEC Clash by Dropping Crypto Lend Product," September 29, 2021
- "FINRA Settlement Highlights Importance of Anti-Money Laundering Due Diligence and Monitoring," August 6, 2020
- "FINRA's COVID-19 Response," March 20, 2020
- "SEC and FINRA Signal Renewed Focus on Vendor Management in Two Key Areas: Cybersecurity and Market Access Rule Compliance," February 27, 2020
- "Regulatory Moves Show Financial Watchdogs Working Smarter, if Not Harder," October 30, 2019
- "New York DFS Consumer Protection and Financial Enforcement Division: New Name, New Look, Old Mandate," May 29, 2019
- "SEC Issues Risk Alert on Customer Privacy Safeguards," April 29, 2019
- "Crypto Firms Make Inroads with State and Federal Regulators," October 30, 2018
- "New York's AG Enters the Cryptocurrency Ring as Federal, State Authorities Find Regulatory Footing," April 30, 2018
- "New Legislation Introduced in 2017 Signals the Beginning of a Strong Push for AML Reform," February 28, 2018
- "FINRA Fetes Emerging Blockchain Technology at Industry Conference," July 27, 2017
- "Financial Regulators Take Note: The Supreme Court's Newest Member is a Tough Taskmaster," April 26, 2017

- "New York State Department of Financial Services Cybersecurity Regulation Poised to Reshape Existing Regulatory Landscape," January 31, 2017
- "SEC Steps Up Cybersecurity Enforcement with \$1 Million Fine Against Morgan Stanley," July 7, 2016
- "Forward to the Past: NYSE Returns to Regulation," November 23, 2015

Eye on Privacy Law Blog Posts

- "New York State Department of Financial Services Proposes Cybersecurity Regulations for Financial Services Companies," September 21, 2016

Law of the Ledger: Blockchain and Cryptocurrency Blog Posts

- "SEC Showcases Lesser-Known Legal Theory in Crypto Lending Suit," February 1, 2023
- "NYAG Delivers on Promise to Rein In Unregistered Crypto Lending with New Suit," October 17, 2022
- "DAO Liability and the bZx Class Action," June 15, 2022
- "NYAG's Warning to Crypto Businesses Muddies Regulatory Waters," November 11, 2021
- "A September to Remember: Coinbase Avoids SEC Clash by Dropping Crypto Lend Product," September 30, 2021
- "New York Attorney General Sues to Shutter Cryptocurrency Trading Firm Coinseed," February 26, 2021
- "Crypto Firms Make Inroads with State and Federal Regulators," November 7, 2018

Global Trade Law Blog Posts

- "DOJ Issues Report on Efforts to Combat Digital Asset Criminal Activity and Announces Designated Prosecutors to Support," September 27, 2022

Media Mentions

SEC Insider Trading Case Presents Liability Risk for Employers
Bloomberg Law, 07.31.2024

A Jam-Packed Week of Litigator of the Week Runner-Up and Shout Outs
ALM, 09.01.2023

Wall Street Turns to AI to Solve WhatsApp Compliance Nightmare
The Information, 08.17.2023

Events

Recent Trends In Securities Class Actions
Class Action Threats in 2023 and Beyond - A Webinar Series
Webinar, 10.24.2023

Construction Project Cost Reporting Risks and How to Manage Them after Securities Exchange Commission v. Granite Construction, Inc.
Sheppard Mullin New York Office, 03.28.2023

Legislation Misses Mark in Attempt to Expand SEC Disgorgement Powers
West LegalEdcenter
05.12.2021

Podcasts & Webinars

Recent Trends in Securities Class Actions
10.24.2023

Construction Project Cost Reporting Risks and How to Manage Them after SEC v. Granite Construction, Inc.
03.28.2023

The Legit Ledger Episode 10: Government Overreach on Blockchain Tech? The Tornado Cash Lawsuit with Christopher Bosch and Zack Golda
10.17.2022

The Legit Ledger Episode 6: DAO Liability in Light of the bZx DAO Class Action with Yasamin Parsafar and Christopher Bosch
07.26.2022

Practices

Governmental Practice

Litigation

Securities Enforcement

White Collar Defense and Corporate Investigations

Education

J.D., Fordham University School of Law, 2015, *magna cum laude*, Order of the Coif, Notes & Articles Editor, *Fordham Urban Law Journal*; Associate Editor, *Dispute Resolution Society*; Mary Daly Scholar

B.S., Boston College, *cum laude*

Admissions

New York

U.S. District Court for the Southern District of New York

U.S. District Court for the Eastern District of New York

U.S. District Court for the Northern District of New York

U.S. Court of Appeals for the Second Circuit