

## → David C. Ulich

**Partner** 

T: +1.310.228.3741 F: +1.310.228.3998 dulich@sheppardmullin.com

David Ulich is a partner of the law firm of Sheppard, Mullin, Richter & Hampton LLP and is Team Leader of the firm's Non Profit Sector Team which was named Non-Profit Sector Law Firm of the Year in California.

#### **Areas of Practice**

David specializes in the representation of hedge funds, providing advice on formation and structuring, compliance with Department of Corporation and SEC regulations, registered investment advisor issues and broker dealer matters. David has substantial experience with private investments in public equity ("PIPEs"), as well as commodity pools, including post-closing stock registration, reporting and notice issues.

David advises clients on matters ranging from family oriented businesses to multi national corporations in many diverse industries – financial, investment management, venture capital, entertainment, sports, health care, consumer goods and aerospace. His primary areas of practice are general corporate and securities law, tax law, merger and acquisition transactions, business start ups, contractual matters (including licensing and distribution agreements) and general business counseling.

David has extensive experience in the structuring of corporate reorganizations, including mergers, stock for stock exchanges, corporate spin-offs and shareholder redemptions, as well as the tax treatment of "S" corporations. He has handled all aspects of partnership and limited liability company taxation including formation and dissolution of partnerships and LLC's, drafting partnership and LLC agreements, merging of partnerships and tax treatment of partners, and LLC members in transactions with their companies. He is an authority in the area of tax-deferred exchanges of real estate.

#### **Honors**

Non-Profit Sector Attorney of the Year in California, Global Law Experts, 2015

## **Experience**

#### **Representative Clients**

AOM French Airlines - AirLib Arnold & Bierbrauer, GmbH Aspen Education Group, Inc. Asset Managers International Ltd. Cape Investment Advisors, Ltd. Cayman Holdings, Ltd.

Cormier/Peterson/Lewis Automotive Group

Country Floors, Inc.

Detection Logic Fire Protection Co.

FFC3 APS, Ltd.

Fulton, Breakefield Broenniman, LLC

**GBM International** 

Hamilton Fiduciary Services, Ltd.

**HS Seafood Company** 

Honda Finance Company

**Hurley International** 

Illac Advisors, Inc.

Integrated Research Services, Inc.

Kaufman + Bernstein, Inc.

Mecos Traxler, GmbH

Nosrat Farms, LLC

On Point Technologies, Inc.

Robinson Reed, Ltd.

Signature Liquidity Fund, Ltd.

Solara Fund, L.P.

Snaidero USA

Snaidero Design Team

Stiassni Capital Partners, LP

Stiassni Capital, LLC

Terbest Insurance Co.

**Trancas Water Company** 

Vector Fund, L.P.

Warwick Fiduciary Services, Ltd.

The Yanks Air Museum

#### **Articles**

#### **Art Law Blog Posts**

■ "The Art of Making Donations", March 18, 2009

#### **Corporate & Securities Law Blog Posts**

■ "IRS Issues New Guidance to Private Foundations on Program Related Investments," May 14, 2012

#### **Media Mentions**

Big Law Partner Gets Emmy Nod for Munich '72 Documentary
Partner David Ulich is nominated for a News & Documentary Emmy in the category of "Outstanding Research"
07.27.2017

### **Memberships**

Advisory Committee Member, University of Pennsylvania Blue Ribbon Panel to Investigate the Abuses of Larry Nassar

Member, International Fair Play Committee Council

Member, 2028 Olympic Bid Committee

Board of Directors, Los Angeles Sport Counsel

Board of Directors, The Los Angeles Sports Counsel Foundation

Board of Directors, Southern California Committee for the Olympic Games

Member, American Bar Association Subcommittee on Partnership Taxation

Adjunct member, American Bar Association Subcommittee on Corporate Taxation

Board of Directors, Terralex, an international referral service for law firms

Lecturer, University of Southern California Masters Tax Program, where he taught classes on the Taxation of Corporations and Shareholders

Board of Directors, several non-profit foundations

#### **Practices**

Corporate

**Emerging Company & Venture Capital** 

Healthcare

International Reach

**Private Wealth Services** 

Tax

Corporate Tax

Hedge Funds and Private Equity

Nonprofit and Tax-Exempt Entities

#### **Industries**

Education

**Emerging Company & Venture Capital** 

Healthcare

Nonprofit

#### Education

LL.M., New York University, 1985

J.D., University of California, Los Angeles, 1984, Member, UCLA Law Review B.A., Haverford College, 1981

### **Admissions**

California

### **Additional Office**

Los Angeles

### Languages

German

David C. Ulich