

Understanding Financial Products 2025

Securities Products Fundamentals

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This session will provide an overview of structuring techniques and transaction features. After completing this session, participants will be able to:

- Better understand the status of traditional and new asset classes in the securities markets
- Jurisdiction of the SEC over securities and exemptions from registration as a security recognized under the Securities Act of 1933
- Gain insight into the current state of the structured finance market, including structuring techniques and transaction features
- Assess securitized products, including the impact on CLOs of the SEC's private fund rule and the conflicts of interest rules on asset-backed securities transactions
- Extrapolate lessons from recent regulatory and legislative developments

Speaker:

- Jeff Kern, Partner, Sheppard Mullin

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Attorneys

Jeff Kern

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